

COPY OF PAPERS
ORIGINALLY FILED

FORM PTO-1449/A and B (Modified)

JUN 11 2002

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

APPLICATION NO.: 10/071,8731

ATTY. DOCKET NO.:
E00378.70179/JHM/DPM

FILING DATE:

February 8, 2002

APPLICANT:

Richmond et al

GROUP ART UNIT: 2661

EXAMINER: Not yet assigned

U.S. PATENT DOCUMENTS

| Examiner's Initials# | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue of Cited Document MM-DD-YYY |
|----------------------|----------|----------------------|-----------|---|--|
| | | Number | Kind Code | | |
| | | | | | |
| | | | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner's Initials# | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document (not necessary) | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|----------------------|----------|-------------------------|--------|-----------|--|---|-------------------|
| | | Office/ Country | Number | Kind Code | | | |
| | | | | | | | |

OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials# | Cite No. | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) |
|----------------------|----------|---|-------------------|
| | | | |

| | | | | |
|---|---|---|------------------------|--|
| 1 | Steven Pettit, "Enterasys User Personalized Network", pp. 1-16, Enterasys Networks' White Paper, 2/12/2001; | ✓ | | |
| 2 | J.P. Gorsky, "Layer 2/3/4 Frame Classification Primer", pp. 1-4, Enterasys Networks' White Paper, pp. 1-4, 05/1999; | ✓ | RECEIVED | |
| 3 | Keith Schultz, "A Complete Solution," Internet Week, January 22, 2001, pp. 1-4; | ✓ | JUN 18 2002 | |
| 4 | Business Wire, "Enterasys Networks' Unveils the Industry's First User Personalized Network," 02/12/2001, pp. 1-2; | ✓ | Technology Center 2600 | |
| 5 | Phil Hochmuth, "Enterasys Brings Policy Enforcement Closer to Users," Network World, 02/12/2001, pp. 1-2; | ✓ | | |
| 6 | Paul Congdon, Hewlett Packard, IEEE 802.1X Overview, "Port Based Network Access Control", IEEE Plenary, Albuquerque, NM, March 2000 | ✓ | | |

EXAMINER

DATE CONSIDERED

7/19/03

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

**COPY OF PAPERS
ORIGINALLY FILED**

| | | | | |
|--|---|----|------------------------------|---|
| FORM PTO-1449/A and B (Modified) | | | APPLICATION NO.: 10/071,8731 | ATTY. DOCKET NO.: E00378.70179/JHM/DPM |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | FILING DATE: | February 8, 2002 |
| JUN 20 2002 U.S. PATENT & TRADEMARK OFFICE JC 26 | | | APPLICANT: | Richmond et al |
| Sheet | 1 | of | GROUP ART UNIT: 2661 | EXAMINER: Not yet assigned |

U.S. PATENT DOCUMENTS

| Examiner's Initials# | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue of Cited Document MM-DD-YYY |
|----------------------|----------|----------------------|-----------|---|--|
| | | Number | Kind Code | | |
| CM | 1 | 5,889,953 | | Thebaut et al. | 03/30/1999 |
| | | | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner's Initials# | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document (not necessary) | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|----------------------|----------|-------------------------|--------|-----------|--|---|-------------------|
| | | Office/ Country | Number | Kind Code | | | |
| | | | | | | | |

OTHER ART – NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials# | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) |
|----------------------|---------|---|-------------------|
| | | | |

RECEIVED

JUN 25 2002

Technology Center 2600

| | | | |
|----------|-----------|-----------------|---------------|
| EXAMINER | <i>CM</i> | DATE CONSIDERED | <i>7/9/03</i> |
|----------|-----------|-----------------|---------------|

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).